

Please type a plus sign (+) inside this box →

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PTO/SB/05 (08-00)

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	H680189.0001US0
	First Named Inventor or Application identifier	RONALD E. FARMER
ADDRESS TO: Commissioner for Patents Mail Stop Patent Application PO Box 1450 Alexandria, VA 22313-1450	Title	LED MODULE WITH UNIFORM LED BRIGHTNESS
	Express Mail No.	EV330687325US

 22264 U.S. PTO  
 10/756545  
 011204
**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form PTO/SB/17 (submit in duplicate)
2. ☒ Applicant claims small entity status. See 37 CFR 1.27.
3. ☒ Specification [Total Pages: 17]
4. ☒ Drawing(s) (35 USC 113) [Total Sheets: 5]
5. ☐ Oath or Declaration [Total Pages:    ]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with No. 17 completed)  
[Note No. 6 below]
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in  
the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Incorporation By Reference (useable if No. 5b is  
checked)  
The entire disclosure of the prior application, from which a copy of  
the oath or declaration is supplied under No. 5b, is considered as  
being part of the disclosure of the accompanying application and is  
hereby incorporated by reference therein.
7. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Copy (CRF)
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statement verifying identity of above copies
8. ☐ Application Data Sheet. See 37 CFR 1.76

9. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)

**ACCOMPANYING APPLICATION PARTS**

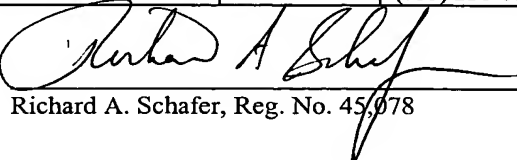
10. ☐ Assignment Papers (cover sheet & document(s))
11. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
12. ☐ Power of Attorney
13. ☐ English Translation Document (if applicable)
14. ☐ Information Disclosure  
Statement (IDS)/PTO-1449  
☐ Copies of IDS Citations
15. ☐ Preliminary Amendment
16. ☒ Return Receipt Postcard (Itemized)
17. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
18. ☒ Other: Certificate of Mailing;

19. If a CONTINUING APPLICATION, check appropriate blank and supply the requisite information:

☐ Continuation  
☐ Divisional  
☐ Continuation-in-part (CIP)

 of prior application No.:        /         
 Prior Application Information:  
 Examiner                                  Group Art Unit:                                 
**20. Correspondence Address**
☒ Customer Number or Bar Code Label                                  or 01200 Correspondence address below  
 (Insert Customer No. or Attach bar code label here)

Name	Attn: Lester L. Hewitt AKIN GUMP STRAUSS HAUER & FELD, LLP				
Address	711 Louisiana Street, 1900 Pennzoil Place – South Tower				
City	Houston	State	Texas	Zip Code	77002
Country	U.S.A.	Telephone	(713) 220-5800	Fax	(713) 236-0822

Date: 1/12/2004
  
 Richard A. Schafer, Reg. No. 45,078

<b>FEE TRANSMITTAL for FY 2004</b>  <i>Patent fees are subject to annual revision</i>		<b>Complete if Known</b>	
		Application Number	
		Filing Date	January 12, 2004
		First Named Inventor	RONALD E. FARMER
		Examiner Name	
		Group / Art Unit	
Total Amount of Payment	\$ 385.00	Attorney Docket No.	H680189.0001US0

<b>METHOD OF PAYMENT (check one)</b>	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account No.: <u>16-2435</u> Deposit Account Name: <u>Akin Gump Strauss Hauer &amp; Feld, LLP</u> <input checked="" type="checkbox"/> Charge any additional Fee Required Under 37 CFR §§ 1.16 & 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Credit Card <input type="checkbox"/> Other	

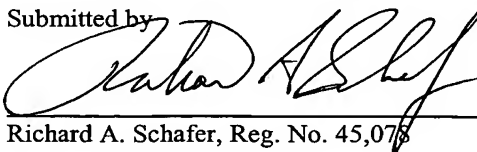
FEE CALCULATION					
1. Basic Filing Fee					
Large Fee Code	Large Entity Fee (\$)	Small Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
1001	\$770	2001	\$385	Utility Filing Fee	\$ 385
1002	\$340	2002	\$170	Design Filing Fee	\$
1003	\$530	2003	\$265	Plant Filing Fee	\$
1004	\$770	2004	\$385	Reissue Filing Fee	\$
1005	\$160	2005	\$ 80	Provisional Filing Fee	\$
<b>Subtotal (1)</b>					<b>\$ 385</b>

2. Extra Claim Fees					
Claims	Extra	Fee (below)	Fee Paid		
Total	18 - 20** =	x	\$	=	\$
Indep.	2 - 3** =	x	\$	=	\$
Multiple Dependent					
**or number previously paid, if greater.					
For Reissues, see below					
Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description			
\$ 18	\$ 9	Claims in excess of 20			
\$ 86	\$ 43	Independent claims in excess of 3			
\$ 290	\$ 145	Multiple dependent claim, if not paid			
\$ 86	\$ 43	**Reissue independent claims over original patent			
\$ 18	\$ 9	**Reissue claims in excess of 20 and over original patent			
<b>Subtotal (2)</b>					<b>\$</b>

FEE CALCULATION (continued)					
3. Additional Fees					
Large Fee Code	Large Entity	Small Fee Code	Small Entity	Fee Description	Fee Paid
1051	\$ 130	2051	\$ 65	Surcharge - late fee or oath	\$
1052	\$ 50	2052	\$ 25	Surcharge - late provisional filing fee or cover sheet	\$
1812	\$2,520	1812	\$2,520	Request for Reexamination	\$
1804	\$920*	1804	\$920*	Requesting publication of SIR prior to Examiner action	\$
1805	\$1840*	1805	\$1840*	Requesting publication of SIR after Examiner action	\$
1251	\$ 110	2251	\$ 55	Extension for reply within first month	\$
1252	\$ 420	2252	\$ 210	Extension for reply within second month	\$
1253	\$ 950	2253	\$ 475	Extension for reply within third month	\$
1254	\$1,480	2254	\$ 740	Extension for reply within fourth month	\$
1255	\$2,010	2255	\$1,050	Extension for reply within fifth month	\$
1401	\$ 330	2401	\$ 165	Notice of Appeal	\$
1402	\$ 330	2402	\$ 165	Filing a brief in support of an appeal	\$
1403	\$ 290	2403	\$ 145	Request for oral hearing	\$
1452	\$ 110	2452	\$ 55	Petition to revive - unavoidable	\$
1453	\$1,330	2453	\$ 665	Petition to revive - unintentional	\$
1501	\$1,330	2501	\$ 665	Utility issue fee (or reissue)	\$
1502	\$ 480	2502	\$ 240	Design issue fee	\$
1503	\$ 640	2503	\$ 320	Plant issue fee	\$
1460	\$ 130	1460	\$ 130	Petitions to the Commissioner	\$
1807	\$ 50	1807	\$ 50	Petitions related to provisional applications	\$
1806	\$ 180	1806	\$ 180	Submission of Information Disclosure Statement	\$
8021	\$ 40	8021	\$ 40	Recording each patent assignment per property (times number of properties)	\$
1809	\$ 770	2809	\$ 385	Filing a submission after final rejection (37 CFR 1.129(a))	\$
1801	\$ 770	2801	\$ 385	Request for Continued Examination (RCE)	\$
1802	\$ 900	1802	\$ 900	Request for expedited examination of a design application	\$
Other fee (specify):					\$
Other fee (specify):					\$
* Reduced by Basic Filing Fee Paid					
<b>Subtotal (3)</b>					<b>\$</b>

Date: 1/12/2004

Submitted by



Richard A. Schafer, Reg. No. 45,078

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Applicant:	§	Confirmation No.:
	§	
RONALD E. FARMER, et al.	§	
	§	
Filed: January 12, 2004	§	Art Unit:
	§	
Serial No.: Unknown	§	Examiner:
	§	
For: LED MODULE WITH	§	Docket No.: H680189.0001US0
UNIFORM LED BRIGHTNESS	§	
	§	Customer No.: 01200

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

**Mail Stop Patent Application**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that the following documents, which are attached, are being deposited, under 37 C.F.R. § 1.10 with the United States Postal Service ("Express Mail Post Office to Addressee") service as Express Mail No. EV330687325US in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

- (1) Utility Patent Application Transmittal;
- (2) Fee Transmittal (in duplicate);
- (3) Application for Patent (17 pages);
- (4) Formal Drawings (5 sheets); and
- (5) Return postcard

Respectfully submitted,

12 January 2004  
Date

Holly Tynman  
Name: Holly Tynman

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